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Application Number		09801490		
Filing Date	2001-03-08			
First Named Inventor	Keith	Keith		
Art Unit	3693			
Examiner Name	D. Felton			
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LOWENSTEIN, R., "Why Stock Options Can Be Dynamite for a Portfolio," Wall Street Journal (Europe), November 7, 1997, p. 13.										
	2 McGUIRE, C., "FIXML Set for Launch," Wall Street & Technology 17(3):50, March 1999.									
	3 TSU, M.E., "Tutorial on Using Options in Active Strategies," Derivatives Quarterly 6(1):39-48, Fall 1999.									
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That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1-97(e)(1).

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See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Kevan L. Morgan/	Date (YYYY-MM-DD)	2007-09-04
Name/Print	Kevan L. Morgan	Registration Number	42015

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